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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/028,419	12/21/2001	Joseph Vanniasinkam	M-9340 US	3557
7590	12/23/2004		EXAMINER	
Finnegan, Henderson, Farabow Garrett & Dunner, L.L.P. 1300 i Street NW Washington, DC 20005-3315				KIANNI, KAVEH C
		ART UNIT		PAPER NUMBER
		2883		

DATE MAILED: 12/23/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b>	<b>Applicant(s)</b>	
	10/028,419	VANNIASINKAM ET AL.	
	<b>Examiner</b>	<b>Art Unit</b>	
	K. Cyrus Kianni	2883	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

1) Responsive to communication(s) filed on 29 September 2004.  
 2a) This action is FINAL.      2b) This action is non-final.  
 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

4) Claim(s) 1-15 and 23 is/are pending in the application.  
 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.  
 5) Claim(s) \_\_\_\_\_ is/are allowed.  
 6) Claim(s) 1-15 and 23 is/are rejected.  
 7) Claim(s) 23 is/are objected to.  
 8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

9) The specification is objected to by the Examiner.  
 10) The drawing(s) filed on 01 May 2002 is/are: a) accepted or b) objected to by the Examiner.  
     Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
     Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  
 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
 a) All    b) Some \* c) None of:  
 1. Certified copies of the priority documents have been received.  
 2. Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.  
 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)	4) <input type="checkbox"/> Interview Summary (PTO-413)
2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)	Paper No(s)/Mail Date. _____
3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date _____	5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)
	6) <input type="checkbox"/> Other: _____

## DETAILED ACTION

- Applicant's cancellation of nonelected claims 17-22, as well as claim 16, in the paper submitted on 9/24/04 is acknowledged.

### *Claim Objections*

1. Claim 23 is objected to because of the following informalities: there is no antecedent basis for the limitation "the integrally formed single piece component" stated in lines 4-5 of the amended claim 23. Appropriate correction is required.

### **Claim Rejections - 35 USC § 103**

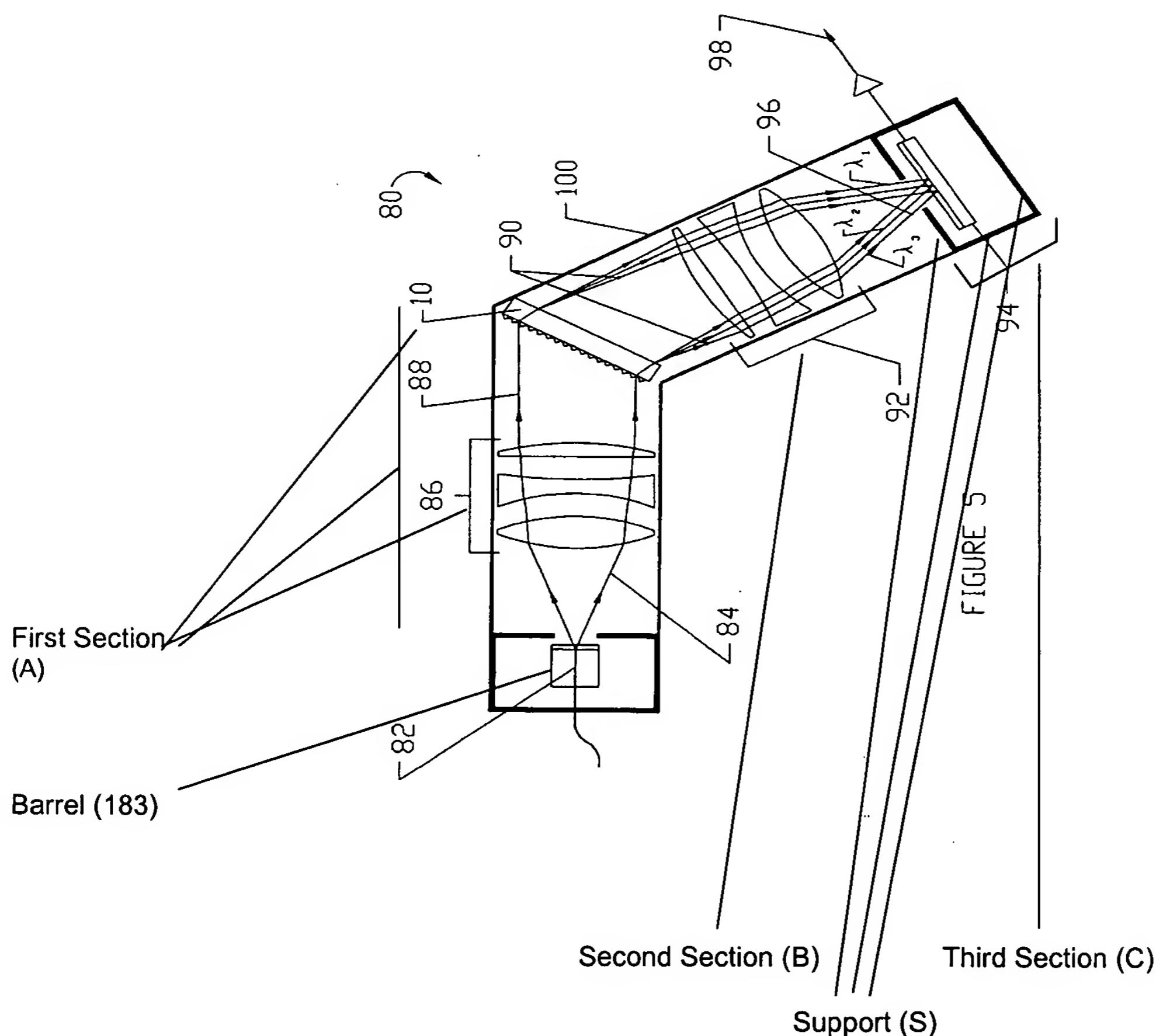
2. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

- This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

3. Claims 1-15 and 23 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kramer (US 6583934).

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Regarding claims 1-6 and 12-13, Kramer teaches a monitoring device (shown in at least figure 5, see above figure), comprising:

a first section A capable of receiving a WDM beam (see fig 5, item first section A containing lens assembly 86 and grating 10 for receives WDM beam via fiber 82; see also col. 13, lines 48-52),  
a diffraction grating 10 integrally formed with the first section A (shown in fig. 5, item diffraction grating 10 integrally formed in the first section via housing 100), the WDM beam 88 being directed onto the internal surface of the diffraction grating 10 (shown in fig. 5, item 10 receives WDM beam), the diffraction grating/means 10 providing angularly separated beams  $\lambda 1..\lambda 3$  on the external surface of the diffraction grating 10;  
a second section B (see second section for collimating and focusing diffracted beam 90) integrally formed with the first section A; and  
a third section C integrally formed with the second section B, the third section C positioned relative to the first section C to receive spatially separated light beams 90 of a selected diffraction order  $\lambda 1..\lambda 3$  from the diffraction grating 10 (shown in fig. 5, item third section C receives spatially separated light beams of a selected diffraction order  $\lambda 1..\lambda 3$  from the diffraction grating 10);  
wherein the first section A, the second section B, and the third section C are integrally formed in a single piece (see fig. 5, wherein the first section A, the second section B, and the third section C are integrally formed in a single piece through housing 100);  
Kramer further teaches wherein the reflective surface is coated external to the first section with thin/reflective/gold film to enhance internal reflection of the WDM beam (see col. 10, line 66-col. 11, line 15).

However, Kramer, in the first embodiment, does not specifically teach wherein the above monitor in the preamble is a demultiplexer; a reflective surface coated with a silver/reflective film, integrally formed on the first section that directs the WDM beam received into the first section onto a bottom surface of the diffraction gating; wherein the third section includes a focusing lens that has support around it. Nevertheless, Kramer's monitor device diffracts WDM beam into individual wavelengths and in second embodiment Kramer teaches a reflective surface integrally formed on the first section that directs the WDM beam received into the first section onto a bottom surface of the diffraction gating (see fig. 18 and 19, item reflector 15 and grating 15') that the reflecting surface is coated with a reflecting coating such as gold or aluminum (see col. 9, line 66-col. 11, line 5); Kramer further states that appropriate lens assembly combinations will be apparent to those skilled in the art (see col. 16, 3<sup>rd</sup> parag.). Thus, It is well known to those of ordinary skill in the art that separation of multiplexed light into separate wavelengths known as demultiplexor, and it would have been obvious to a person of ordinary skill in the art when the invention was made to combine different embodiments of Kramer's teachings such as by replacing the grating 10 with that of double grating 250 in which item 15 functions as a reflector and use a silver coating rather than a gold or aluminum, and further, as a matter of design choice, place a lens around the support section S aperture (see above figure) of third section in order to construct a demultiplexing system that includes the above limitations, and since such coating would have essentially the same functional effect and since such demultiplexing

system would provide a surface relief/aligner transmission grating with improved durability with a highly diffraction efficiency performance (col. 2, lines 21-24 and 57-62).

- The statements advanced in claims 1-6 and 12-13, above, as to the applicability and disclosure of Kramer are incorporated herein as follows:

Regarding claims 7-11 and 14-15, Kramer further teaches wherein the first section includes an integrally formed collimating lens 86 integrally formed into the single piece, the integrally formed collimating lens 86 collimating the WDM beam received from an optical fiber (shown fig. 6, item 86); further including a barrel (see fig. 5 also 10A, the barrel 183 containing fiber) integrally formed with the first section A, the barrel capable of receiving an optical fiber and aligning the optical fiber with the collimating lens 86 (see at least fig. 5, item barrel containing/receives fiber and aligns it with the collimating lens 86); a post integrally formed into the single piece with the first section A, the post capable of receiving a barrel (shown in above figure 5 and 10a, item post in front of the barrel 183 receiving the barrel/ferrule 183); wherein the barrel includes a fiber access and a fiber stop (shown in figure 5 and 10a in which the fiber entering the ferrule/barrel 183 stopped at the aperture portion of the barrel); wherein a detector array 94 can be mounted on the support S so that the spatially separated beams  $\lambda_1.. \lambda_3$  are directed onto individual detectors of the detector array (see fig. 5 item photodetector array 94); wherein optical fibers are arranged to receive individual ones of the spatially

separated beams (shown in at least fig. 10a, item receiving fibers in the array of fibers 186).

Regarding claims 23, Kramer teaches a monitoring device (shown in at least figure 5, see above), comprising:

means for separating an input light beam 88 into constituent parts  $\lambda 1..\lambda 3$  with an integrally formed component 10 (see fig. 5, above, first section S through grating 10 separates input beam 88 into  $\lambda 1..\lambda 3$ );

means 94 for detecting the constituent parts  $\lambda 1..\lambda 3$  from the integrally formed single piece component 10;

means 100 for aligning the means for separating (see first section S) with the means for detecting 94 (see the housing 100, inherently, aligns the first section S--for separating the input light beam 88 into constituent parts  $\lambda 1..\lambda 3$ —with that of the detector 94; note that this alignment scheme is analogous to the applicant's aligning means—i.e., as stated in the specification parag. 0034 with regard to alignment of the elements/means in figure 2). Regarding Kramer's teachings of demultiplexer the arguments presented in rejection of claim 1 is analogous in rejection of claim 23.

- The examiner kindly advises the applicant that the claims are too broad and thus the applicant need to appropriately narrow the scope of the independent claims in order to make the case allowable.

***Response to Arguments and Amendment***

4. Applicant's argument filed on 9/29/04 have been fully considered but they are not persuasive.

Applicant alleges (page 5, 4<sup>th</sup> parag.-page 7) that Kramer does not teach a third section integrally formed with the second section and the first section; the first, second and the third section are integrally formed in a single piece, and further, Kramer does not teach a means for separating an input light beam into constituent parts with an integrally formed single piece component. The examiner responds that indeed as shown in figure 5 (see above figure illustrated by the examiner), Kramer teaches a third section C integrally formed with the second section C and the first section A; the first, second and the third sections A-C are integrally formed in a single piece as shown in figure 5, and further, Kramer does teaches a means (section C) for separating an input light beam 88 into constituent parts A1..A3 with an integrally formed single piece component 10.

Applicant alleges (page 6, 2<sup>nd</sup> parag.-page 7) that Kramer does not teach integrally forming various components in the first, second and third sections elements such as lens and barrel in a single piece. The examiner responds that Kramer indeed teaches integrally forming various components in the first, second and third sections A-C elements such as lens 86 and barrel 183 in a single piece as shown above in figure 5.

***THIS ACTION IS MADE FINAL***

5. This action in response to applicant's amendment made FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a). A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

***Contact Information***

6. Any inquiry concerning this communication or earlier communications from the examiner should be directed to K. Cyrus Kianni whose telephone number is (571) 272-2417. The examiner can normally be reached on Monday through Friday from 8:30 a.m. to 6:00 p.m. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Frank Font, can be reached at (571) 272-2415.

**Any response to this action should be mailed to:**

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

**or faxed to:**

(703) 872-9306 (for formal communications intended for entry)

Art Unit: 2883

or:

Hand delivered responses should be brought to Crystal Plaza 4, 2021 South Clark Place, Arlington, VA., Fourth Floor (Receptionist).

Any inquiry of a general nature or relating to the status of this application should be directed to the Group Receptionist whose telephone number is (703) 308-0956.



K. Cyrus Kianni  
Patent Examiner  
Group Art Unit 2883

December 14, 2004